



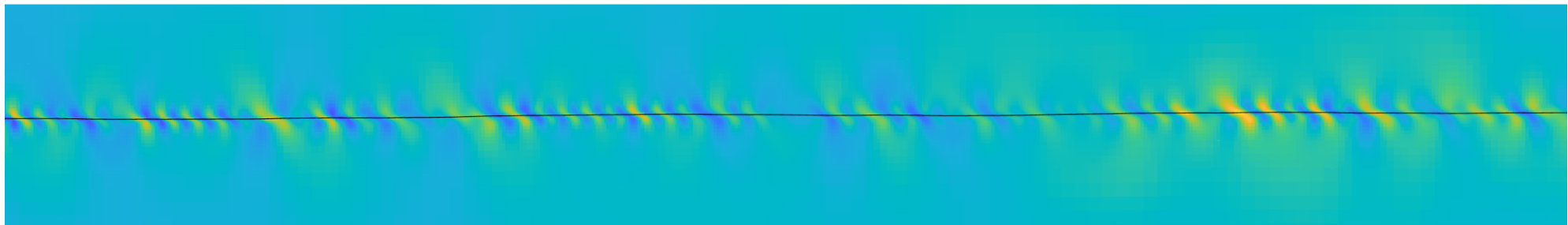
# Simulations of Thermal Pressurization on Rough Faults: Rupture Dynamics and Temperature Evolution

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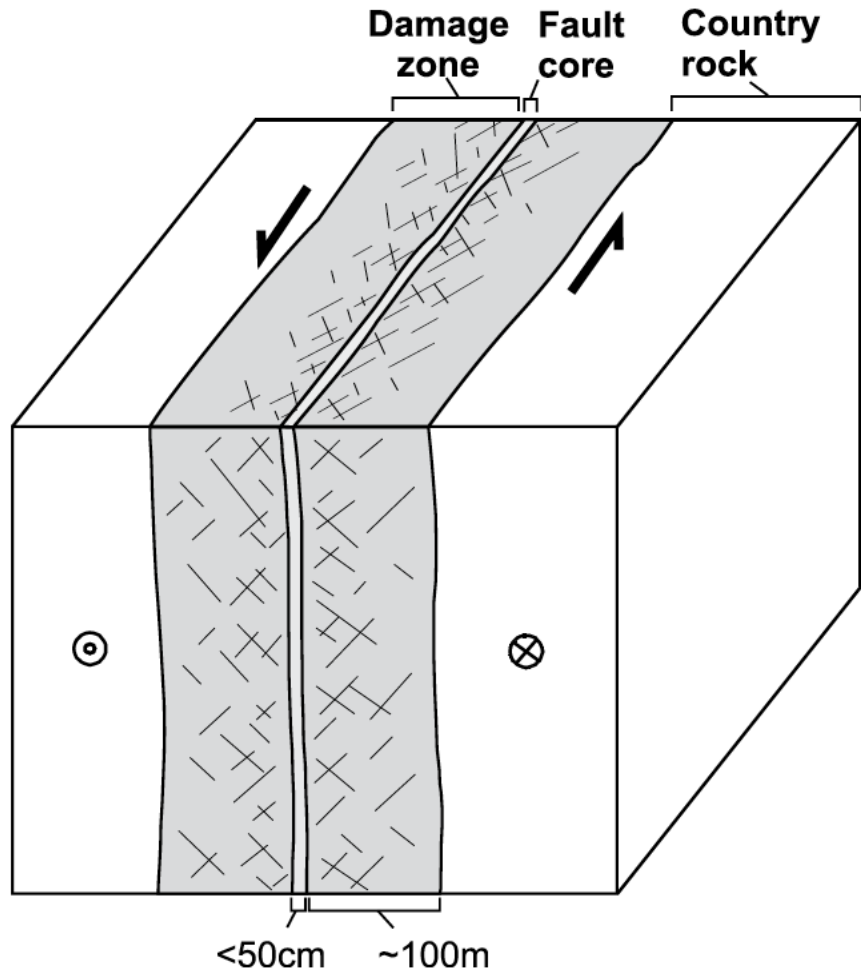
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2. University of Pennsylvania, United States

(Badt and Tal, JGR, 2025)

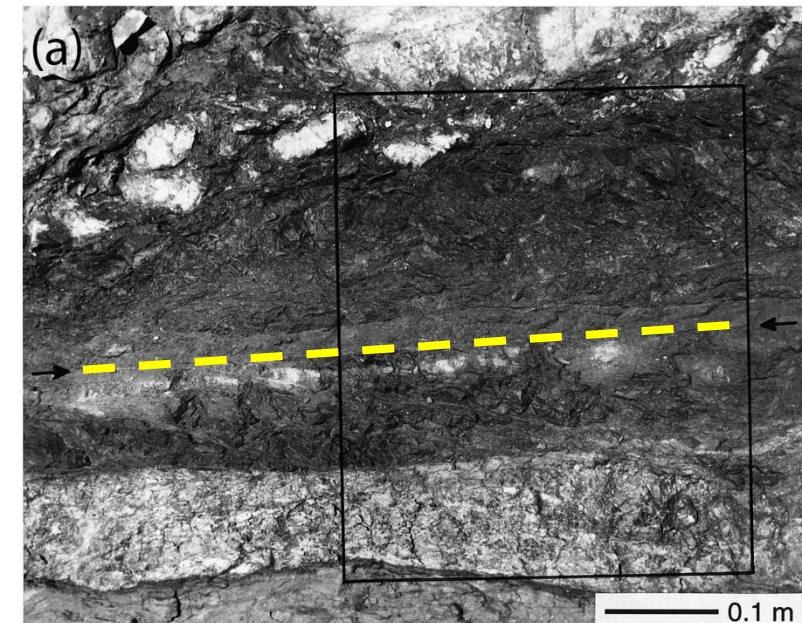
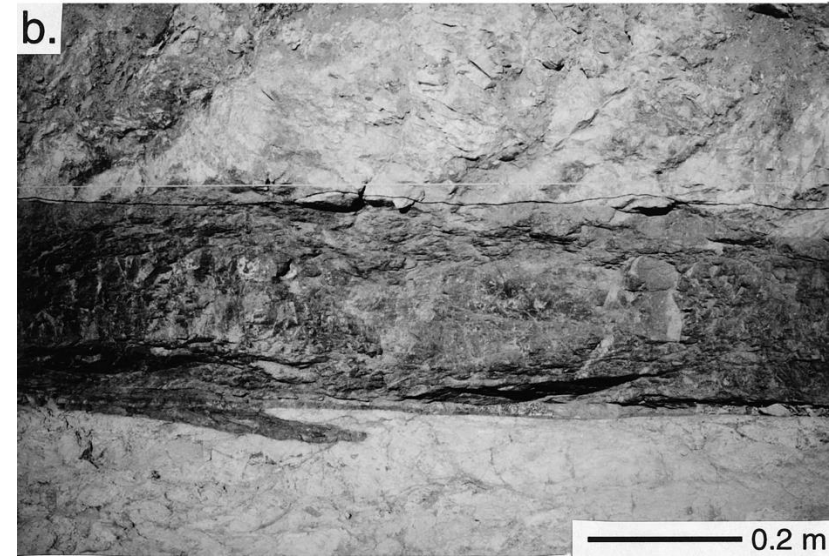


# Fault zone structure



Mitchell and Faulkner (2009)

Chester and  
Chester, 1998



# Thermal pressurization (TP)

## Thermal pressurization:

Heat: 
$$\frac{\partial T}{\partial t} = \kappa \frac{\partial^2 T}{\partial y^2} + \frac{Q(v, \tau)}{\rho c}$$

Pressure: 
$$\frac{\partial p}{\partial t} = \Lambda \frac{\partial T}{\partial t} + \alpha \frac{\partial^2 p}{\partial y^2} - \frac{1}{\beta} \frac{\partial \phi}{\partial t}$$

## Hydrothermal Parameters:

$\kappa$  - Thermal diffusivity

$\alpha$  - Hydraulic diffusivity

$\Lambda$  - Coupling coefficient

$\rho c$  - Specific heat

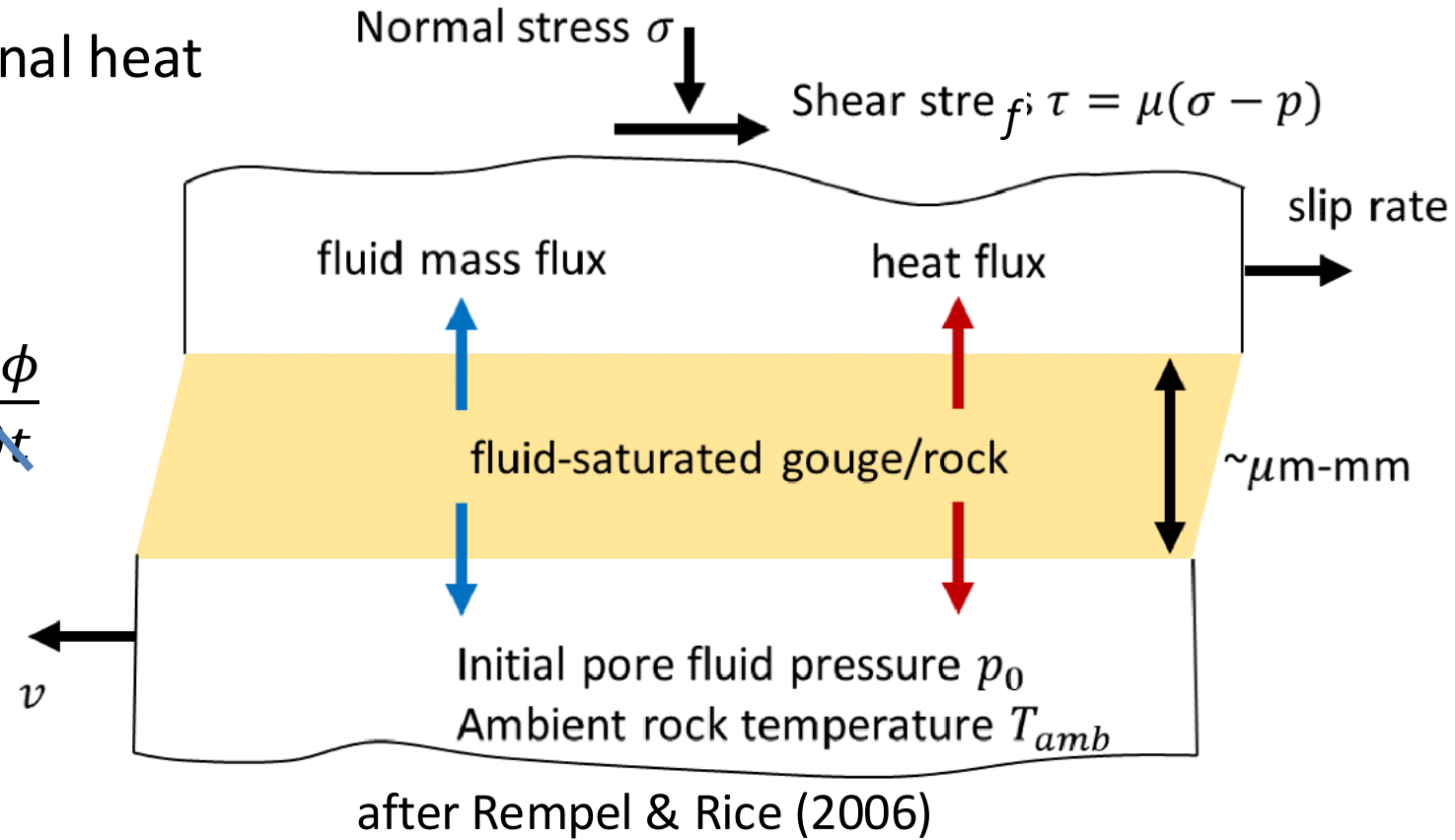
## Shear resistance on the fault:

$$\tau = f(u, v, \theta)(\sigma - p)$$

$\sigma$  - normal stress,  $p$  - pore fluid pressure,

$f$  - friction coefficient

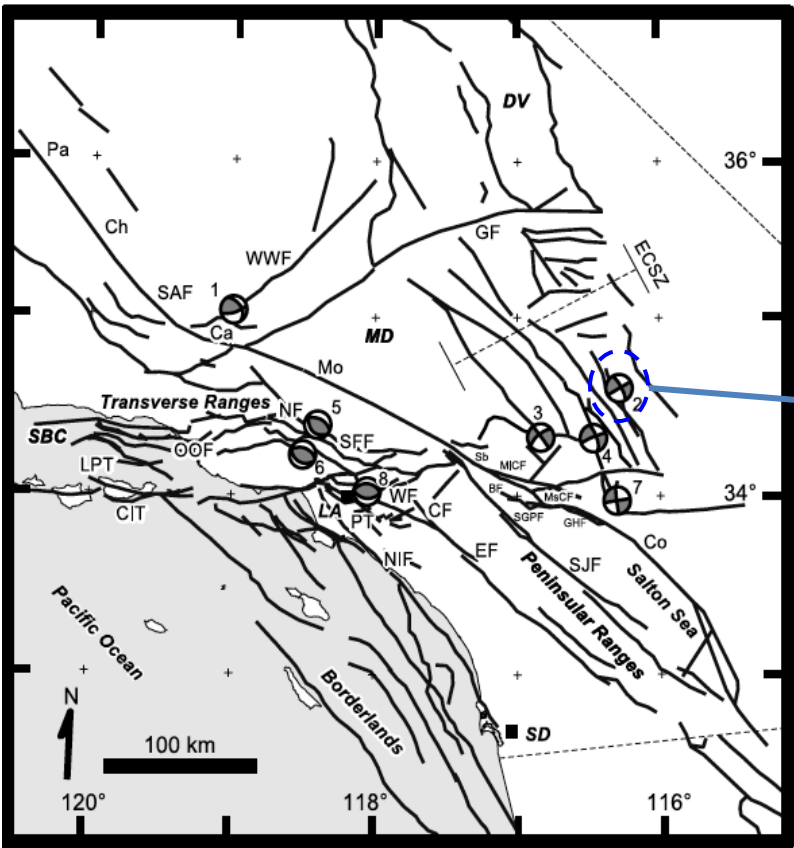
Frictional heat



Theoretical and numerical studies have examined the effect of TP on earthquake ruptures on **planar** faults (Andrews, 2002; Badt et al., 2023; Bizzarri & Cocco, 2006a; Lambert & Lapusta, 2023; Mase & Smith, 1987; Noda & Lapusta, 2010; Perry et al., 2020; Rice, 2006; Stathas & Stefanou, 2023; Urata et al., 2008, 2012, 2015)

# Nonplanar fault geometry

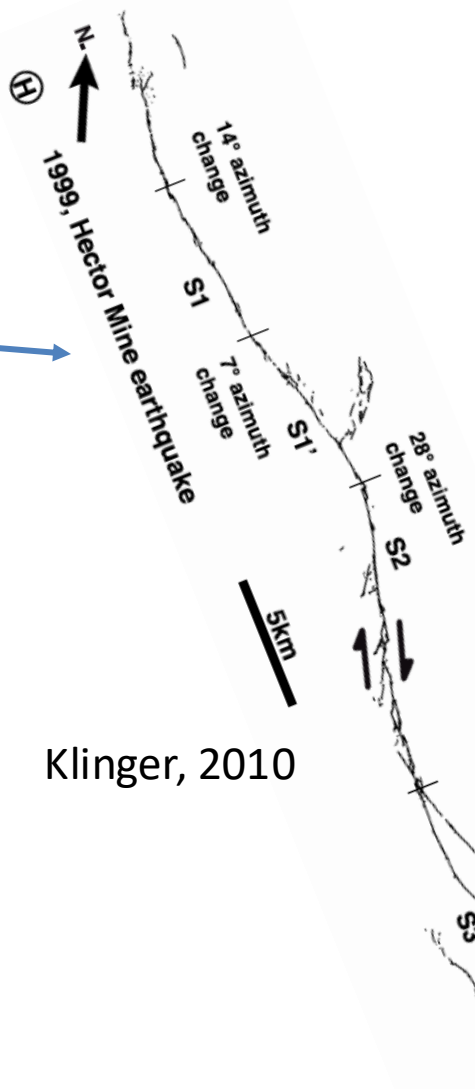
## Southern California faults



Plesch et al., 2007

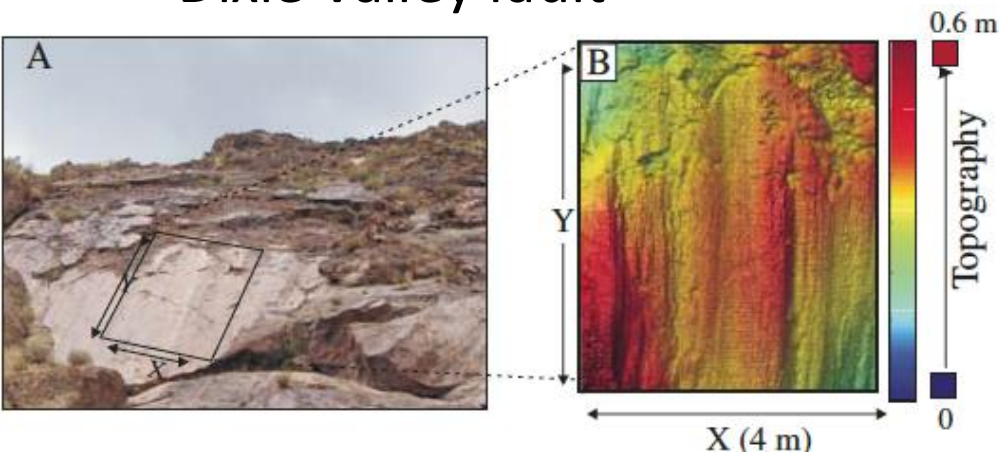
Fault roughness leads to a heterogeneous stress field

## 1999, Hector Mine earthquake surface rupture



Klinger, 2010

## Dixie Valley fault



Sagy et al., 2007

**Goal:**  
**Study the combined effect of fault roughness and thermal pressurization on rupture dynamics and thermal evolution**



# Model

The local shear resistance on the fault:

$$\tau = f(v, \theta)(\sigma - p)$$

$\sigma$  - normal stress

$p$  - pore fluid pressure

Rate and state (RS) friction  $f(v, \theta)$ :

$$f = f^* + a \ln \left( \frac{v}{v^*} \right) + b \ln \left( \frac{v^* \theta}{D_{RS}} \right)$$

with aging state evolution law:

$$\dot{\theta} = 1 - \frac{\theta V}{D_{RS}}$$

## Frictional parameters

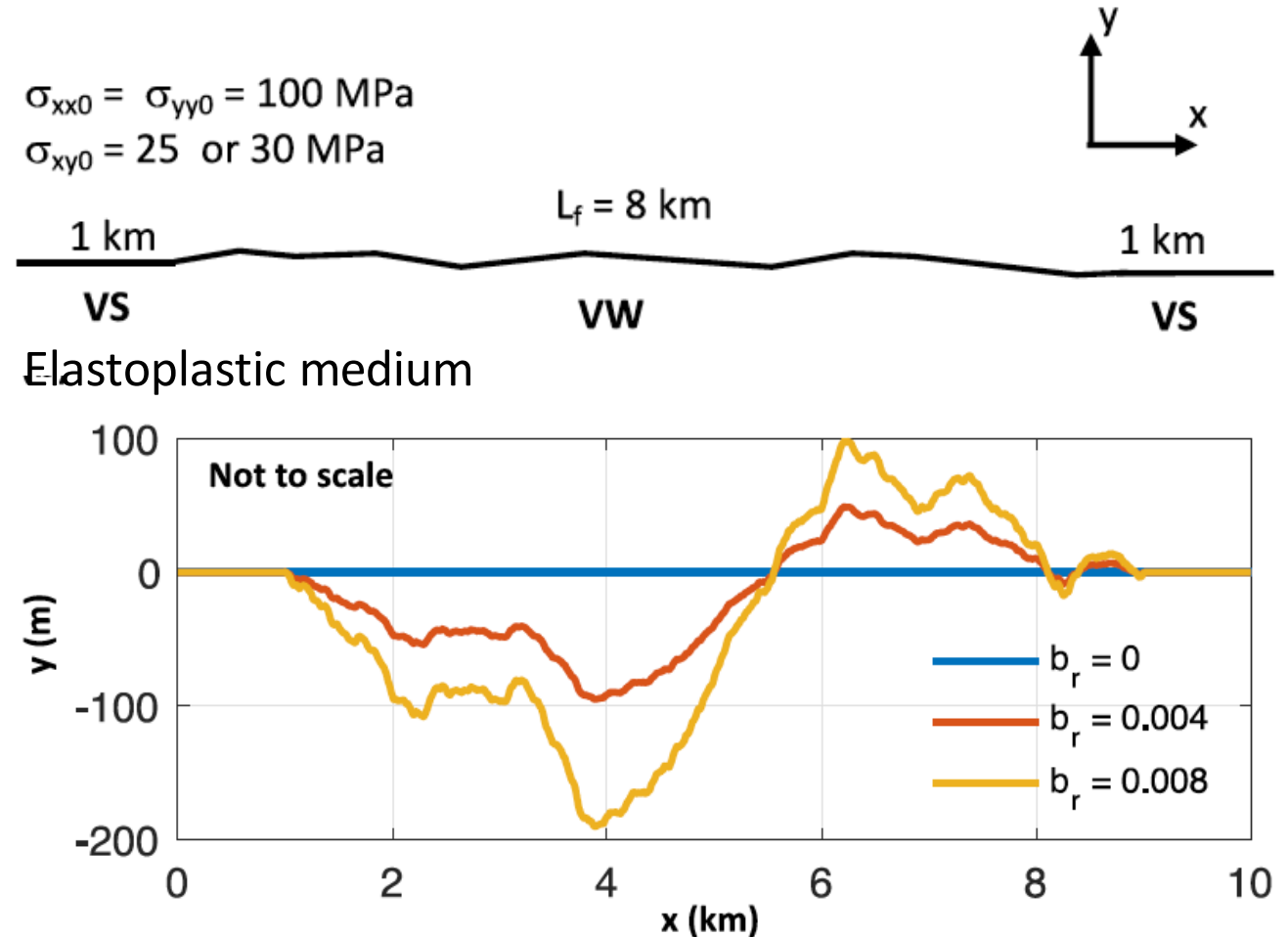
RS parameter

$a = 0.01$  (VW),  $0.05$  (VS),

$b = 0.015$  (VW),  $0.005$  (VS)

Characteristic sliding distance

$D_{RS} = 5$  mm



Roughness:  $h(L) = b_r L^H$

Roughness prefactor:  $b_r = 0$  (planar),  $0.004$ ,  $0.008$

Hurst exponent:  $H = 1$  (self-similar)

Minimum wavelength:  $\lambda_{min} = 20$  m

# Model

The local shear resistance on the fault:

$$\tau = f(v, \theta)(\sigma - p)$$

Thermal pressurization:

$$\frac{\partial T}{\partial t} = \kappa \frac{\partial^2 T}{\partial y'^2} + \frac{Q(v, \tau)}{\rho c}$$

$$\frac{\partial p}{\partial t} = \Lambda \frac{\partial T}{\partial t} + \alpha \frac{\partial^2 p}{\partial y'^2}$$

The shear heating  $Q$  is distributed over a Gaussian shear layer of half-width  $w$ :

$$Q(v, \tau) = \tau v \frac{e^{-y'^2/2w^2}}{w\sqrt{2\pi}}$$

## Hydrothermal Properties

Thermal diffusivity

$$\kappa = 1 \times 10^{-6} \text{ m}^2/\text{s}$$

Hydraulic diffusivity

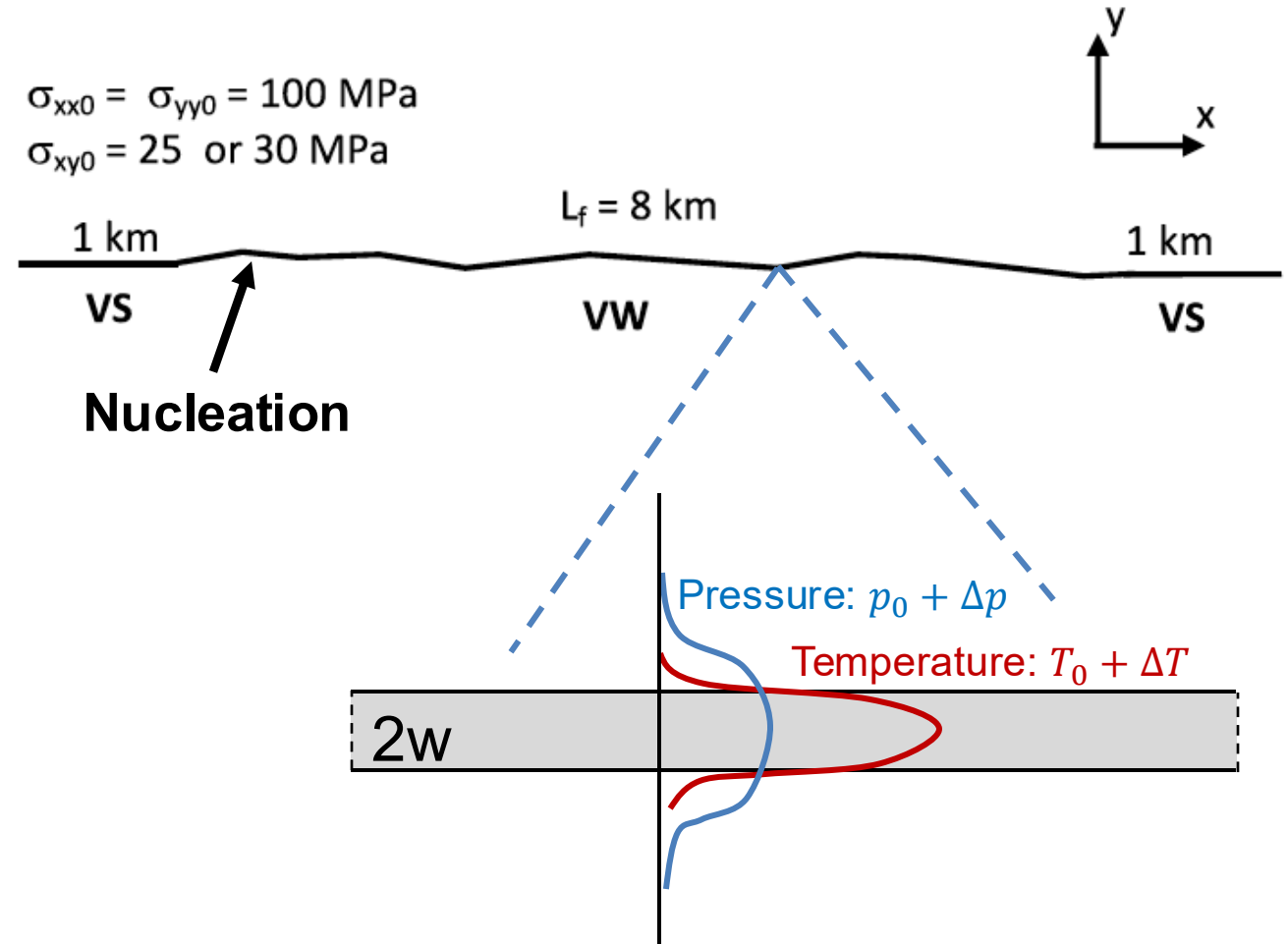
$$\alpha = 1 \times 10^{-5}, 1 \times 10^{-4} \text{ m}^2/\text{s}$$

Coupling coefficient

$$\Lambda = 1 \text{ MPa}/^\circ\text{C}$$

Shear layer half-width

$$w = 5, 10, 20 \text{ mm}$$



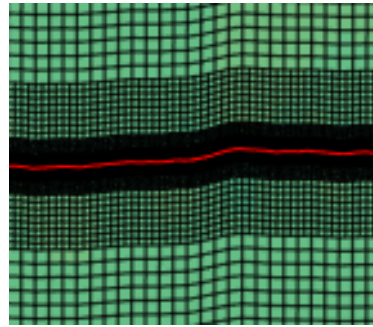
We model a **single** dynamic rupture in each simulation

# Numerical method (Tal and Hager, 2018; Tal, 2023)

## 1. Implementing friction laws into the **Mortar Finite Element Method**

- Enables slip that is comparable to the minimum roughness wavelength
- Models the variations of normal stresses during slip, as well as opening

## 2. Hanging nodes



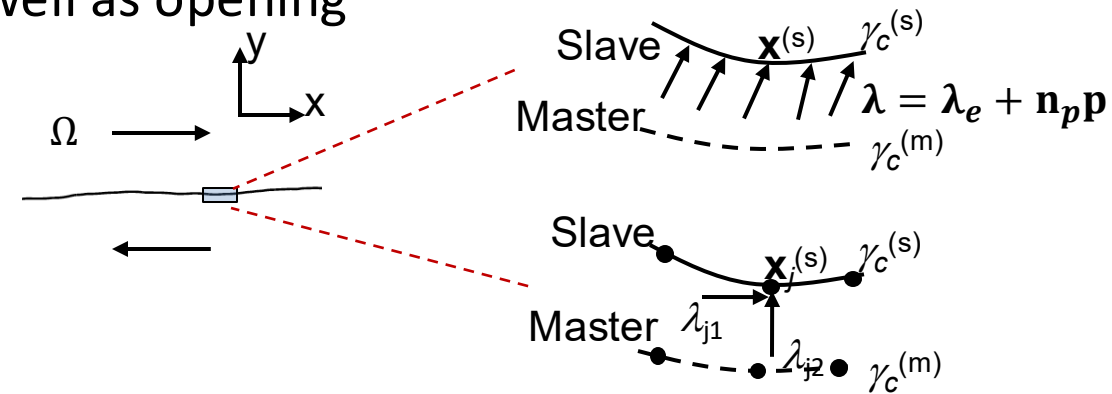
- Represent the geometry of the interface accurately

## 3. Variable time steps with quasi-static and **fully dynamic (Newmark)** implicit schemes

## 4. Inelastic deformation: **Drucker–Prager plasticity**, Viscoelasticity

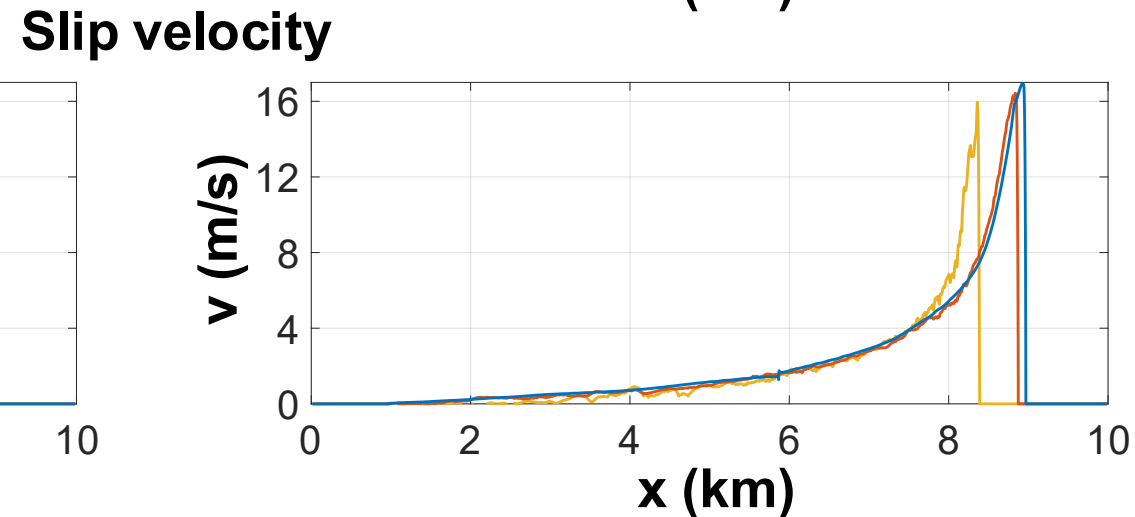
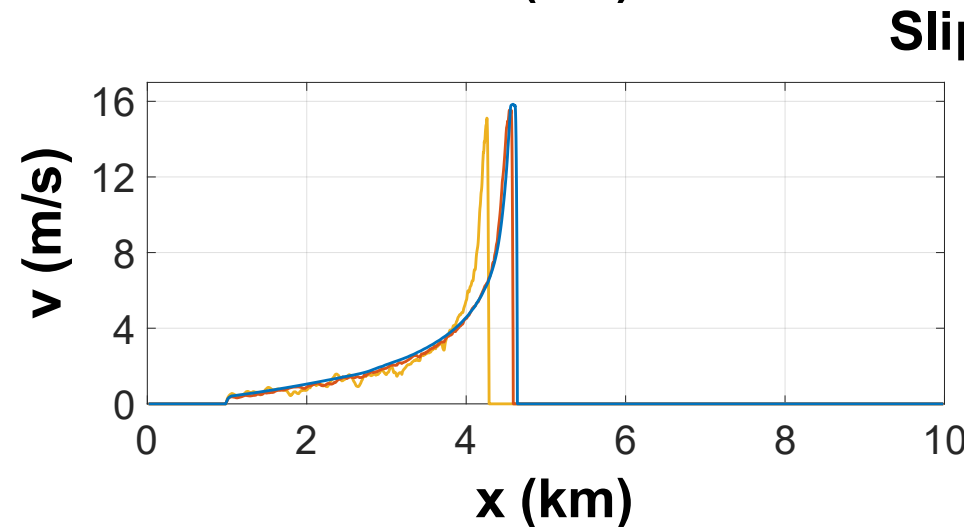
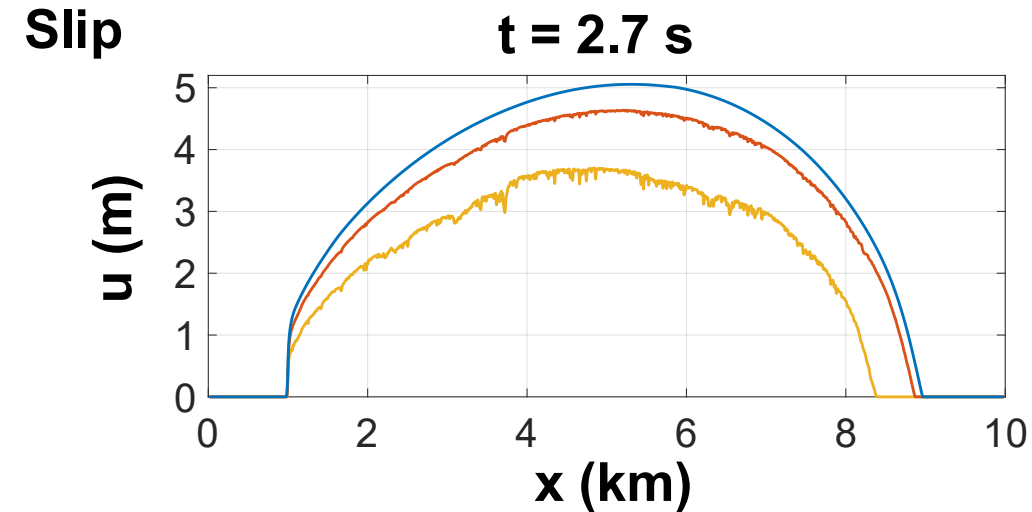
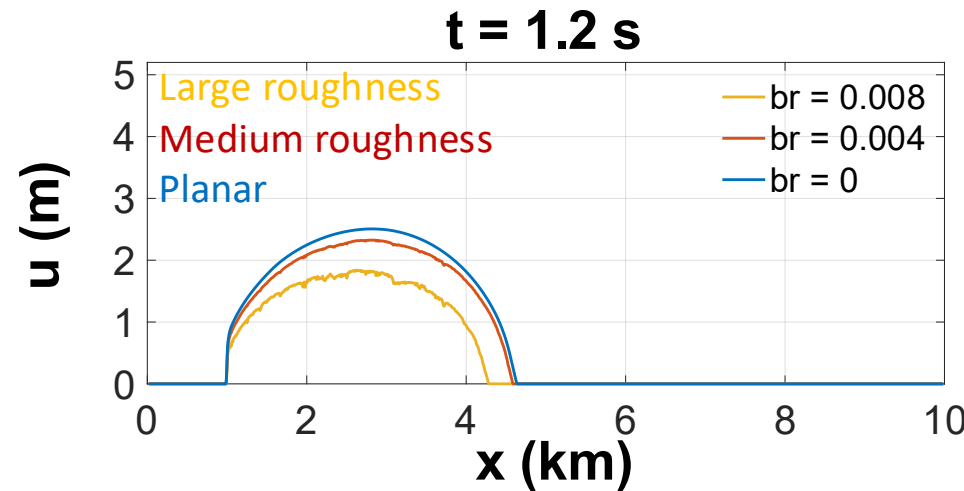
## 5. Various friction laws: Slip weakening, **Rate and state friction**, Flash heating, Wear

6. **Thermal pressurization**: Integrating the temperature and pore pressure in time at a given location  $x$  on the fault with the spectral method of Noda and Lapusta (2010) and coupling it to the Mortar FEM method. Benchmarked with SCEC Code Validation problem TPV105.



# Slip behavior

- The intensity of the ruptures slightly decreases with increasing roughness level, resulting in smaller rupture speeds and final slips



Coupling coefficient

$\Lambda = 1 \text{ MPa/}^\circ\text{C}$

Thermal diffusivity

$\kappa = 1 \times 10^{-6} \text{ m}^2/\text{s}$

Hydraulic diffusivity

$\alpha = 1 \times 10^{-5}, 1 \times 10^{-4} \text{ m}^2/\text{s}$

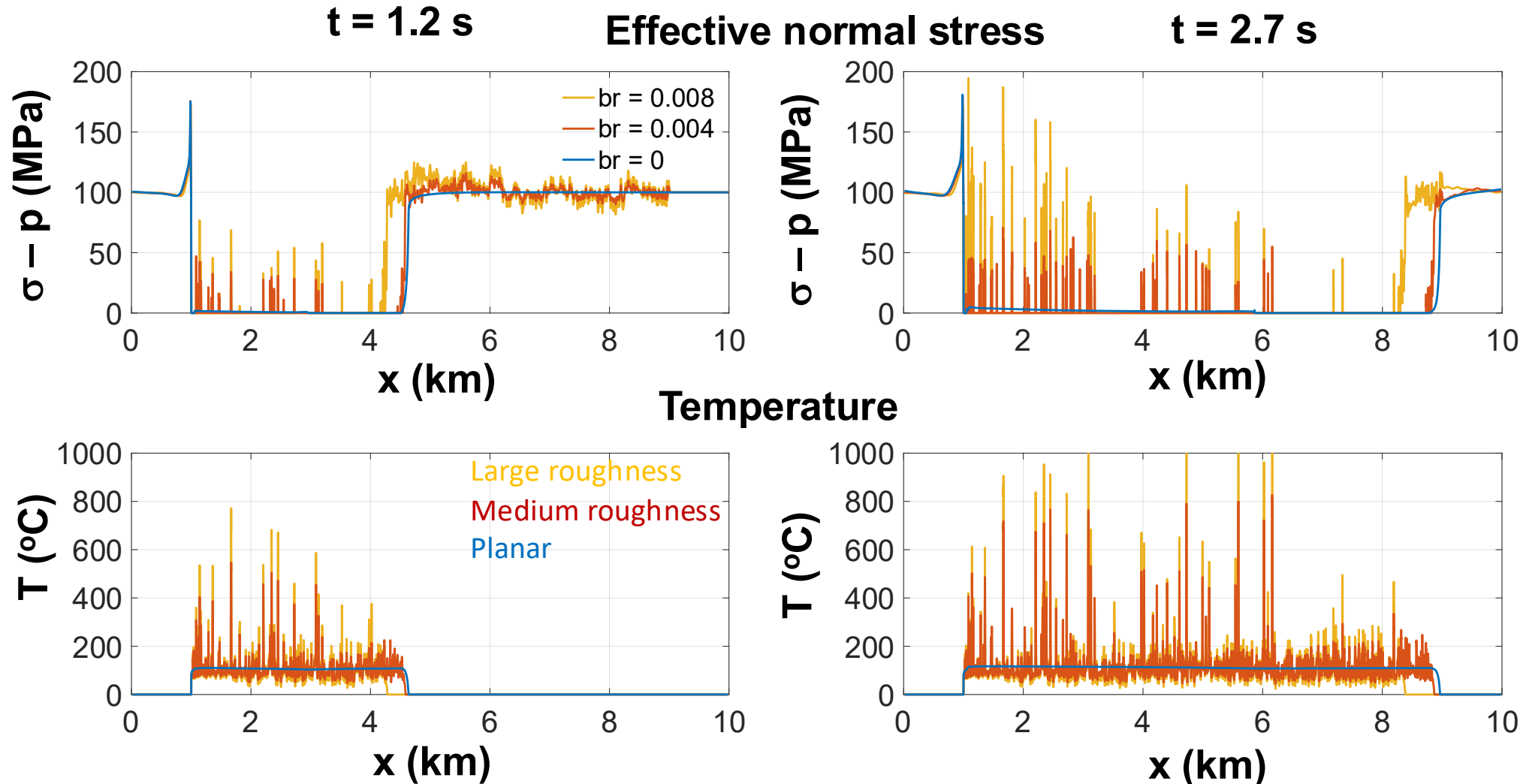
Shear layer half-width

$w = 5, 10, 20 \text{ mm}$



# Temperature and effective stresses

Large heterogeneities in normal effective stress lead to highly heterogeneous temperature profiles on rough faults, locally exceeding the melting point ( $\sim 800^\circ\text{C}$  under wet conditions).



Coupling coefficient

$\Lambda = 1$  MPa/ $^\circ\text{C}$

Thermal diffusivity

$\kappa = 1 \times 10^{-6}$  m $^2$ /s

Hydraulic diffusivity

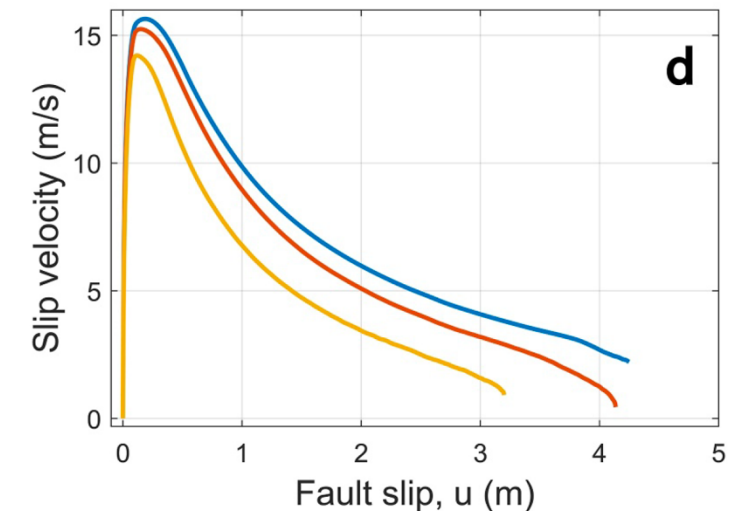
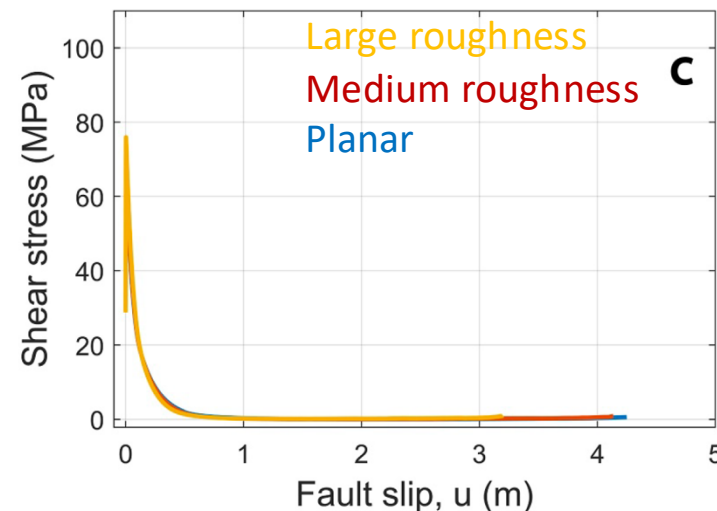
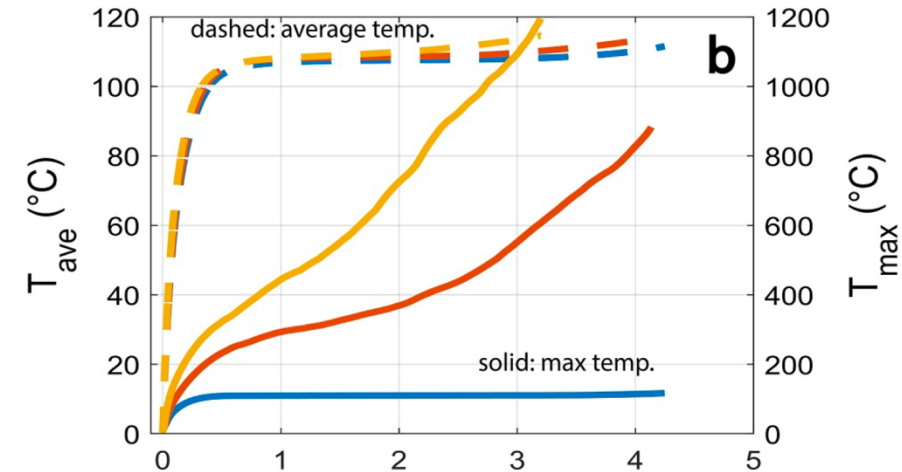
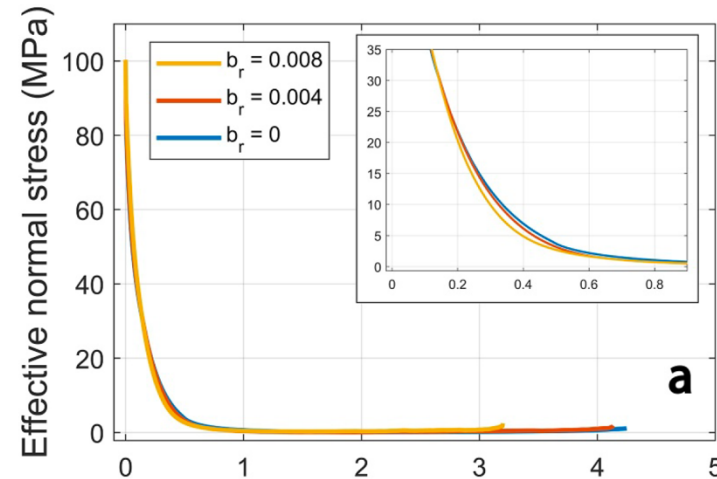
$\alpha = 1 \times 10^{-5}, 1 \times 10^{-4}$   
m $^2$ /s

Shear layer half-  
width

$w = 5, 10, 20$  mm

# Average behavior

- Similar evolutions of **average** effective normal stress, temperature, and shear stress, with slip for rough and planar faults.
- The high fluid pressurization rates lead to a near-total reduction in average fault strength in the early stages of slip.
- Large maximum temperature for rough fault.

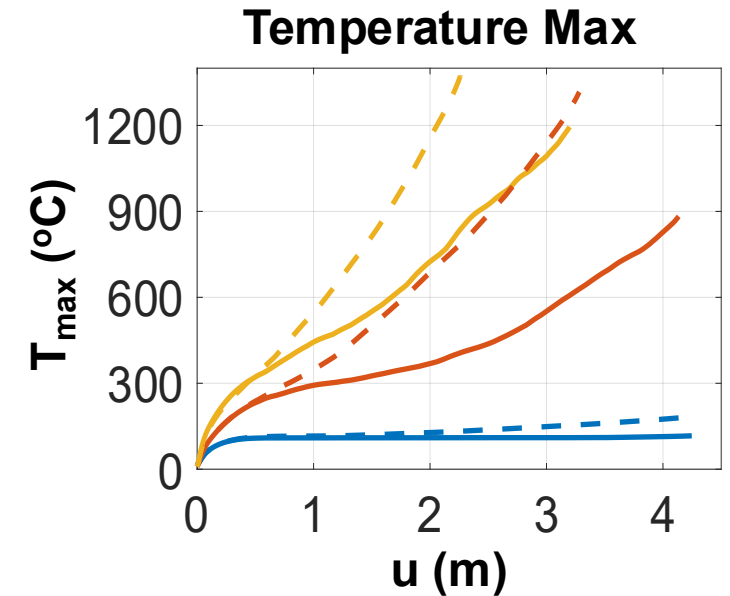
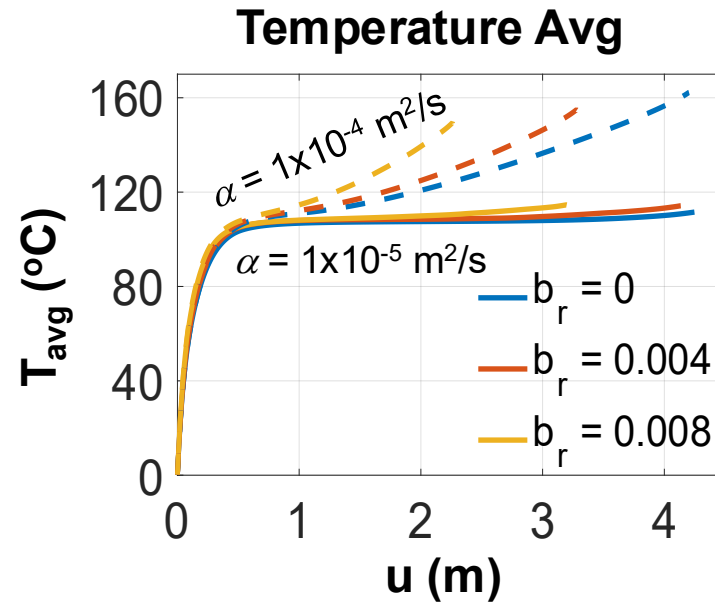
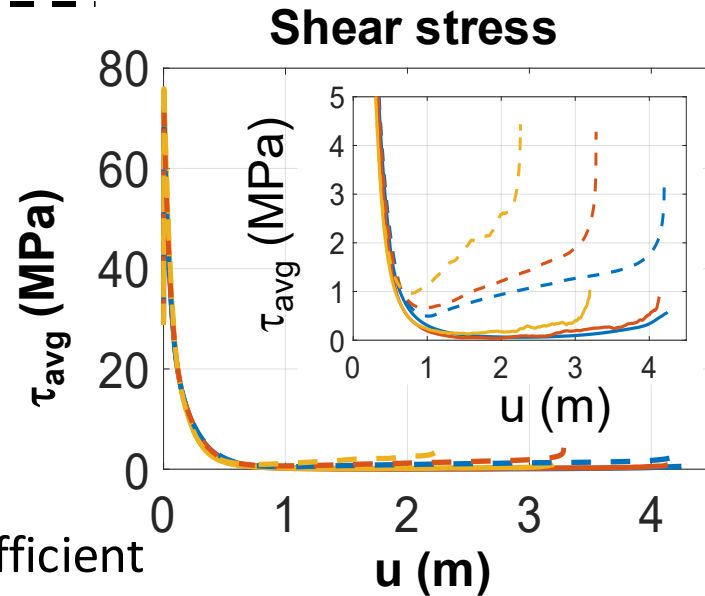


\* The average and maximum values are calculated using the energy-based averaging method of Noda and Lapusta (2012), which captures the prevailing features of the local evolution of the variables with slip.

# TP in rough faults with “damaged” wall-rocks

- We represent damage by lowering the hydraulic diffusivity  $\alpha$
- The temperature increases for “damaged” wall-rocks, while slip decreases.

$\alpha = 1 \times 10^{-5} \text{ m}^2/\text{s}$  —  
 $\alpha = 1 \times 10^{-4} \text{ m}^2/\text{s}$  - -

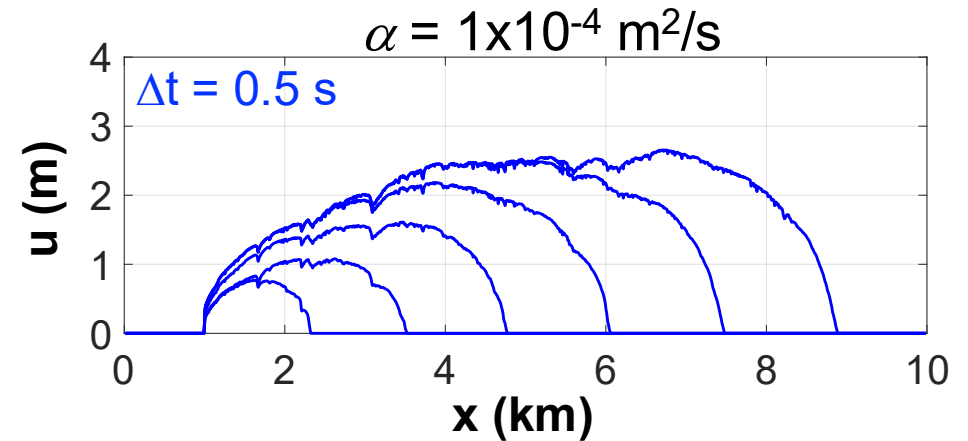
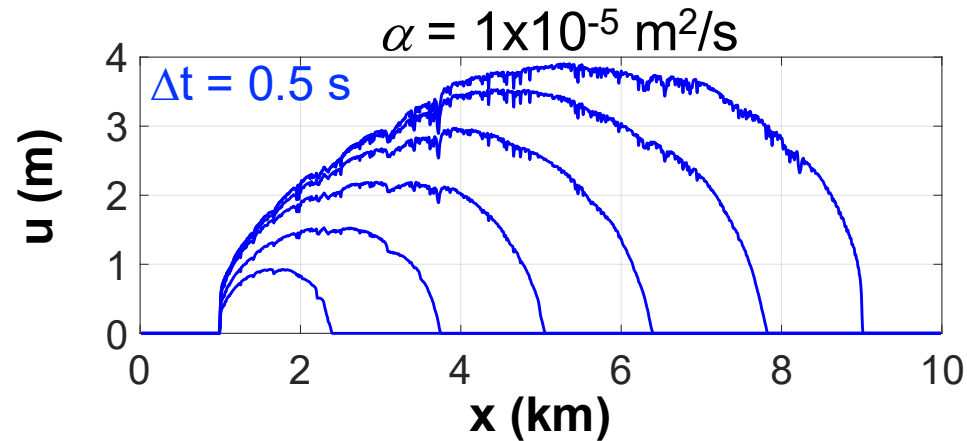


Coupling coefficient  
 $\Lambda = 1 \text{ MPa}/^\circ\text{C}$   
Thermal diffusivity  
 $\kappa = 1 \times 10^{-6} \text{ m}^2/\text{s}$   
**Hydraulic diffusivity**  
 $\alpha = 1 \times 10^{-5}, 1 \times 10^{-4} \text{ m}^2/\text{s}$   
Shear layer half-width  
 $w = 5, 10, 20 \text{ mm}$

# TP in rough faults with “damaged” wall-rocks

- We represent damage by lowering the hydraulic diffusivity  $\alpha$
- For the roughest fault, the lower hydraulic diffusivity leads to a transition from a crack-like to a pulse-like rupture

Slip along the fault:  $b_r = 0.008$  (high roughness)



Coupling coefficient

$\Lambda = 1 \text{ MPa}/^\circ\text{C}$

Thermal diffusivity

$\kappa = 1 \times 10^{-6} \text{ m}^2/\text{s}$

Hydraulic diffusivity

$\alpha = 1 \times 10^{-5}, 1 \times 10^{-4} \text{ m}^2/\text{s}$

Shear layer half-width

$w = 5, 10, 20 \text{ mm}$

# The effect of shear layer width ( $w$ )

- As  $w$  increases, the thermal pressurization is less efficient, leading to smaller temperature increases and larger effective weakening distances.
- Fault with  $w = 20$  mm and  $b_r = 0.008$  shows early rupture arrest on a geometrical barrier due to the less efficient TP.

Coupling coefficient

$$\Lambda = 1 \text{ MPa/}^\circ\text{C}$$

Thermal diffusivity

$$\kappa = 1 \times 10^{-6} \text{ m}^2/\text{s}$$

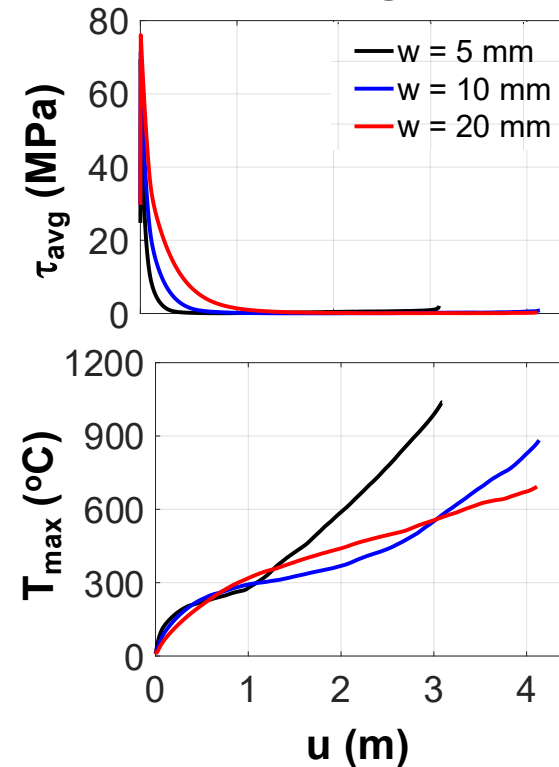
Hydraulic diffusivity

$$\alpha = 1 \times 10^{-5}, 1 \times 10^{-4} \text{ m}^2/\text{s}$$

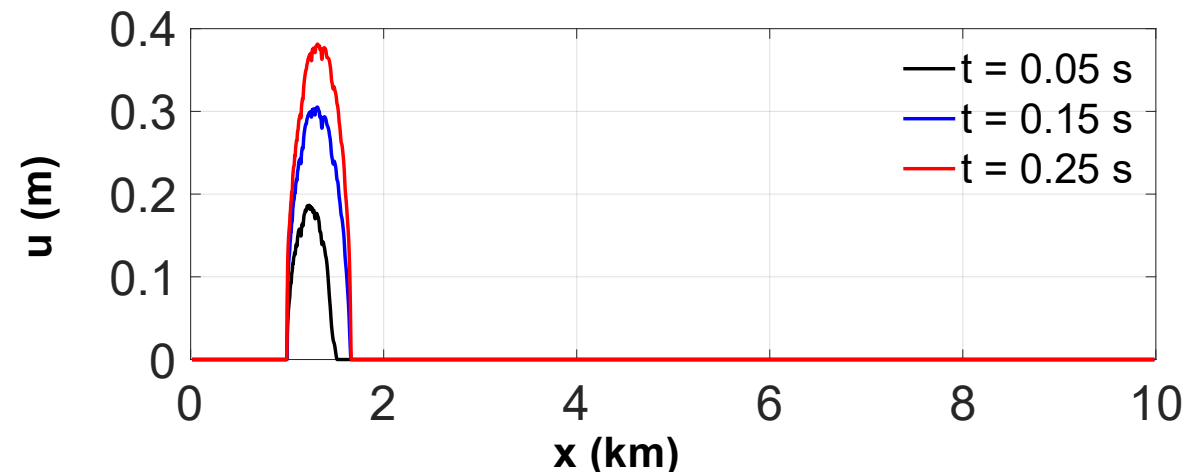
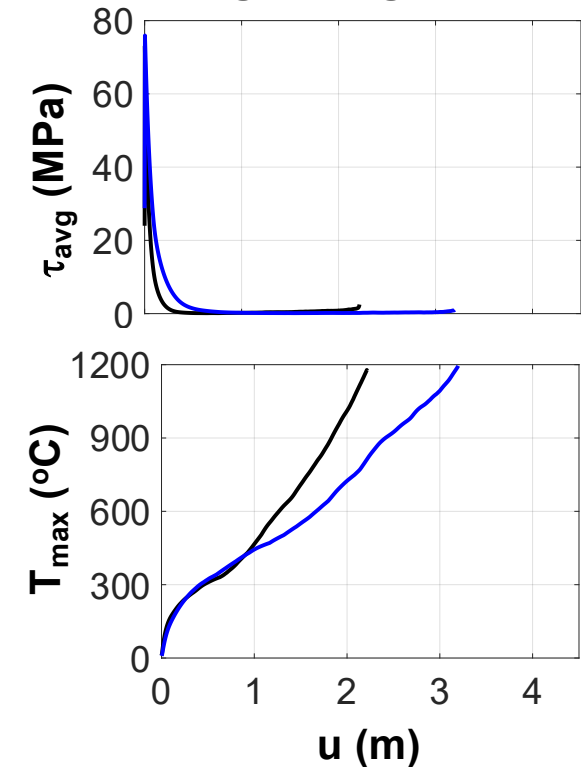
Shear layer half-width

$$w = 5, 10, 20 \text{ mm}$$

Medium roughness



Large roughness





# Summary

- Rough and planar faults subjected to thermal pressurization show a similar average temperature and stress evolution during coseismic slip.
- Stress heterogeneities on rough faults can lead to local melting at regions of elevated effective stress, even when thermal pressurization is highly effective.
- Higher hydraulic diffusivity results in a larger temperature increase and can drive a transition to pulse-like rupture.
- For a wide shear layer with low shear heating, fault roughness can arrest the rupture in the early stages of slip.

